PTO/SR/21 (02-04)

| <b>\$</b>   | U.S. Patent   | Approved for use through 07/31/2006. OMB 0651-0031 and Trademark Office; U.S. DEPARTMENT OF COMMERCE |  |  |  |  |
|---|---|--|--|--|--|--|
| Under the Paperwork Reduction Act of 1995. no p   | persons are required to respond to a collection Application Number  | of information unless it displays a valid OMB control number.  10/809,068                            |  |  |  |  |
| TRANSMITTAL   | Filing Date   | March 25, 2004   |  |  |  |  |
| FORM  | First Named Inventor  | Mutel et al.   |  |  |  |  |
| (to be used for all correspondence after initial filing,  | Art Unit  | 1625   |  |  |  |  |
|   | Examiner Name   |  |  |  |  |  |
| Total Number of Pages in This Submission  | Attorney Docket Number  | 20856 US2  |  |  |  |  |
|   | ENCLOSURES (Check all that  | apply)   |  |  |  |  |
| Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks  PTO-1449 Form | Other Enclosure(s) (please Identify below):  |  |  |  |  |
|   | RE OF APPLICANT, ATTORNE  | EY, OR AGENT   |  |  |  |  |
| Firm or Individual name Signature Date  Kimberly J. Prior  Kimberly J. Prior  June 25, 2004   | D'<br>Treor   |  |  |  |  |  |
| CER   | TIFICATE OF TRANSMISSION  | MAILING  |  |  |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.                         |   |  |  |  |  |  |
| Typed or printed name  Kimberly J. Prior  |   |  |  |  |  |  |
| Signature Ambe  | le Frior  | Date June 25, 2004   |  |  |  |  |

This collection of information is requited by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors:

Mutel, et al.

Group: 1625

Serial No. 10/809,068, filed March 25, 2004

(Ref. No. 20856 US2)

Examiner: Aulakh, C.

For:

SUBSTITUTED IMIDAZO[1,2-A]PYRIDINE DERIVATIVES

## INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 June 25, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A1, A2, B1 to B18, and C1 to C19 are listed on Form PTO-1449.

None of the documents listed on Form PTO 1449 are being submitted because each document has been made of record in the parent application, Serial No. 10/407,928, filed April 4, 2003, now pending.

Serial No. 10/809,068 Filed: March 25, 2004

Consideration of all the documents cited on Form PTO-1449 is requested.

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application, no fee is due.

Respectfully submitted,

Attorney for Applicant(s)

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**Enclosure** 

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Sheet

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/809,068 March 25, 2004 Filing Date First Name Inventor Mutel, et al. Group Art Unit 1625

(Use several sheets if necessary)

of 2 **Examiner Name** Aulakh, C. Attorney Docket Number 20856 US2

**U.S. PATENT DOCUMENTS** 

| Examiner Cite | U.S. Patent Document |                                   | N CD  | D . CD 11: .: .   |
|---------------|----------------------|-----------------------------------|---|---|
| No.'          | Number               | Kind Code <sup>2</sup> (if known) | Cited Document Cited do   | Date of Publication of<br>Cited document<br>MM-DD-YYYY                        |
| A1            | 3,701,780            |                                   | Merck & Co., Inc.   | 10/31/1972  |
| A2            | 6,596,731            |                                   | Mutel, et al.   | 07/2003   |
|               |                      |                                   |   |   |
|               | A1                   | Cite No. Number A1 3,701,780      | Cite No.1         Number         Kind Code2 (if known)           A1         3,701,780 | Cite No. Number Kind Code Cited Document  Number (if known) Merck & Co., Inc. |

|                       |              |                                |               | l                                       | <u> </u>   |  |   |
|-----------------------|--------------|--------------------------------|---------------|---|--|--|---|
|                       |              |                                |               |   | ATENT DOCUMENTS                                    | T  |   |
| Examiner<br>Initials' | Cite<br>No.¹ | Foreign<br>Office <sup>3</sup> | Patent Docume | Kind<br>Code <sup>5</sup><br>(if known) | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | T |
|                       | B1           | CH 59                          | 0 862         |   | Ciba Geigy AG                                      | 08/31/1977   |   |
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|                       | B17          | WO 0                           | 1/74815       |   | Ortho McNeil                                       | 10/11/2001   |   |
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| Examiner              |              | Date Co                        | nsidered      |   |  |  |   |

Signature

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Xind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation or abstract is attached.

| Substitute for form 1449A/PTO     |        |               | for form 1449A/PTO | Complete if Known      |                |
|-----------------------------------|--------|---------------|--------------------|------------------------|----------------|
| I                                 | NFORMA | MOITA         | DISCLOSURE         | Application Number     | 10/809,068     |
| STATEMENT BY APPLICANT            |        |               | Y APPLICANT        | Filing Date            | March 25, 2004 |
| (Use several sheets if necessary) |        |               |                    | First Name Inventor    | Mutel, et al.  |
|                                   |        |               | ets if necessary)  | Group Art Unit         | 1625           |
|                                   |        | Examiner Name | Aulakh, C.         |                        |                |
| Sheet                             | 2      | of            | 2                  | Attorney Docket Number | 20856 US2      |

## NON PATENT LITERATURE DOCUMENTS

| Examiner<br>Initials' | Cite<br>No. <sup>1</sup>                                | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |  |  |  |
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| Examiner<br>Signature |   | DATE<br>CONSIDERED  |                |  |  |  |

\*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\*Unique citation designation number. \*\*Applicant is to place a check mark here if English language Translation or abstract is attached.

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